

**AQI: Advanced Quantum Information**  
**Lecture 7 (Module 2): State and Process Tomography**  
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## Introduction

We saw in the last lecture that if we're given just a single copy of an unknown state  $\rho$ , then it's impossible for us to distinguish it from other states if we don't know the measurement basis to use, or in the case it's from a set of non-orthonormal states then we have no hope for sure. The basic problem here is that there's no measurement that allows us to distinguish, say, the states  $|0\rangle$  and  $\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$ . This also means that we're not able to characterise  $\rho$  faithfully with a single measurement. However, it is possible to estimate  $\rho$  if we're given a large enough number of copies of it. For instance in an experiment where we can repeat the experiment many times to produce many copies of  $\rho$ .

## 1 State tomography

Let's take a qubit density matrix  $\rho$ . Now the set  $\{\frac{1}{\sqrt{2}}\mathbb{1}, \frac{1}{\sqrt{2}}X, \frac{1}{\sqrt{2}}Y, \frac{1}{\sqrt{2}}Z\}$  forms an orthonormal set of matrices with respect to the Hilbert-Schmidt inner product,  $(A, B) = \text{Tr}(A^\dagger B)$ , where for an arbitrary operator acting on the Hilbert space we have

$$B = \sum_i \text{Tr}(A_i^\dagger B) A_i \quad \text{c.f.} \quad |\psi\rangle = \sum_i \langle \psi_i | \psi \rangle |\psi_i\rangle. \quad (1)$$

A complete set has  $d^2$  matrices, where we can choose  $A_0 = \frac{1}{\sqrt{d}}\mathbb{1}$  and  $\text{Tr}(A_i A_j) = \delta_{ij}$ . Orthogonality implies that each  $A_i$  for  $i \neq 0$  is traceless,  $\text{Tr}(\mathbb{1} A_i) = \text{Tr}(A_i) = 0$ . Thus for a qubit we have  $\mathbb{1}$  and the Pauli matrices, where one can write

$$\rho = \frac{1}{2} [\text{Tr}(\rho)\mathbb{1} + \text{Tr}(X\rho)X + \text{Tr}(Y\rho)Y + \text{Tr}(Z\rho)Z]. \quad (2)$$

Here,  $\text{Tr}(A\rho) = \langle A \rangle$  is the average value of the observable  $A$ . So we can estimate, say  $\text{Tr}(Z\rho)$ , by measuring the observable  $Z$  a large number of times. Measuring  $Z$   $m$  times we get the values  $z_1, z_2, z_3, \dots, z_m$ , where  $z_i = \pm 1$ . We then have  $\text{Tr}(Z\rho) = \sum_i \frac{z_i}{m}$  and via the central limit theorem this estimate (for large  $m$ ) becomes Gaussian with mean equal to  $\text{Tr}(Z\rho)$  and standard deviation  $\frac{\Delta(Z)}{\sqrt{m}}$ , where  $\Delta(Z)$  is the standard deviation for a single measurement of  $Z$  for which  $\Delta(Z) \leq 1$ . Therefore the standard deviation of our estimate of  $\text{Tr}(Z\rho)$  is at most  $1/\sqrt{m}$ . We can do the same for  $\text{Tr}(X\rho)$  and  $\text{Tr}(Y\rho)$  too. Note that  $\text{Tr}(\mathbb{1}\rho) = 1$  for a valid density operator. Thus we can obtain a good estimate for  $\rho$  given a large enough sample size.

In general for  $n$  qubits we have

$$\rho = \sum_{\underline{v}} 2^{-n} [\text{Tr}(\sigma_{v_1} \otimes \sigma_{v_2} \dots \sigma_{v_n} \rho) \sigma_{v_1} \otimes \sigma_{v_2} \dots \sigma_{v_n}], \quad (3)$$

where the sum is over all vectors  $\underline{v} = (v_1, \dots, v_n)$ , with  $v_i \in \{0, 1, 2, 3\}$  and  $\{\sigma_0, \sigma_1, \sigma_2, \sigma_3\} := \{\mathbb{1}, X, Y, Z\}$ .

An example of this general decomposition is the two-qubit state  $|\phi^+\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ , where  $\rho = |\phi^+\rangle\langle\phi^+|$ . This has the decomposition

$$\rho = \mathbb{1} \otimes \mathbb{1} + X \otimes X - Y \otimes Y + Z \otimes Z. \quad (4)$$

One can easily generalise this to qudits by finding the correct orthonormal (and Hermitian) set of matrices for the relevant Hilbert space.

## 1.1 Example state tomography

Below is an example from an actual experiment with photons showing the elements of the reconstructed density matrix for the ideal state

$$|\phi_c\rangle = \frac{1}{2}(|0000\rangle + |0011\rangle + |1100\rangle - |1111\rangle)_{1234} \quad (5)$$

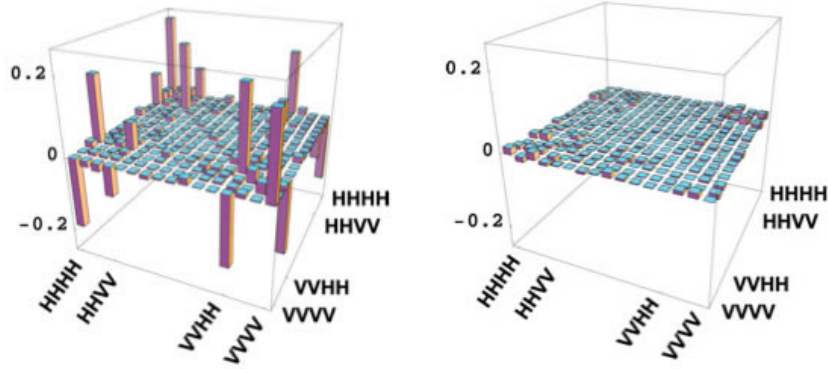


Figure 1: Taken from M. S. Tame *et al.* Phys. Rev. Lett. 98, 140501 (2007). Left hand side is the real part and right hand side is the imaginary part. Here the computational basis is represented by the polarization of the photons,  $\{|0\rangle, |1\rangle\} := \{|H\rangle, |V\rangle\}$

## 2 Process tomography

What if we want to characterise the dynamics of a quantum system? This is known as system identification in classical systems and for quantum systems it's called quantum process tomography. Consider the physical process acting on the quantum system  $\rho$  described by the channel  $\mathcal{E}$

$$\mathcal{E}(\rho) = \sum_i E_i \rho E_i^\dagger, \quad \sum_i E_i^\dagger E_i = \mathbb{1}. \quad (6)$$

We'd like to know the form of this Kraus decomposition based on experimentally measurable observables and therefore find the  $E_i$ 's. To do this we use a fixed set of operators  $\tilde{E}_i$  which form a basis for the set of operators

$$E_i = \sum_m \text{Tr}(\tilde{E}_m^\dagger E_i) \tilde{E}_m = \sum_m e_{im} \tilde{E}_m \quad (7)$$

which gives

$$\mathcal{E}(\rho) = \sum_{mn} \tilde{E}_m \rho \tilde{E}_n^\dagger \chi_{mn}, \quad \text{with} \quad \chi_{mn} = \sum_i e_{im} e_{in}^*. \quad (8)$$

This decomposition means that the channel  $\mathcal{E}$  can be completely described by a complex number matrix  $\chi$  and a fixed set of operators  $\{\tilde{E}_m\}$ . So we just need to find a way to obtain the values of the entries in the  $\chi$  matrix. The procedure for this is outlined below.

Consider a fixed, linearly independent basis for the space of  $d \times d$  matrices:  $\rho_j$ ,  $1 \leq j \leq d^2$ . For example, the set of operators  $|n\rangle\langle m|$ . The output state of the channel  $\mathcal{E}$  acting on one of these inputs  $\mathcal{E}(|n\rangle\langle m|)$  can be found by preparing the following input states

$$|n\rangle, |m\rangle, |+\rangle = \frac{1}{\sqrt{2}}(|n\rangle + |m\rangle) \text{ and } |+_y\rangle = \frac{1}{\sqrt{2}}(|n\rangle + i|m\rangle), \quad (9)$$

then forming linear combinations of the outputs  $\mathcal{E}(|n\rangle\langle n|)$ ,  $\mathcal{E}(|m\rangle\langle m|)$ ,  $\mathcal{E}(|+\rangle\langle +|)$  and  $\mathcal{E}(|+_y\rangle\langle +_y|)$  as

$$\mathcal{E}(|n\rangle\langle m|) = \mathcal{E}(|+\rangle\langle +|) + i\mathcal{E}(|+_y\rangle\langle +_y|) - \frac{1+i}{2}\mathcal{E}(|n\rangle\langle n|) - \frac{1+i}{2}\mathcal{E}(|m\rangle\langle m|). \quad (10)$$

Thus we can find  $\mathcal{E}(\rho_j)$  for each  $\rho_j$  by performing state tomography on the output states of the above four input states. Actually, we could stop here! But we want to recover the Kraus decomposition as it's a more powerful description.

Note that we could also write

$$\mathcal{E}(\rho_j) = \sum_k \lambda_{jk} \rho_k, \quad (11)$$

as the  $\rho_k$  are basis states  $\rho_j$  (just with a different index). Here the  $\mathcal{E}(\rho_j)$  are experimentally determined, the  $\rho_k$  are fixed beforehand and the  $\lambda_{jk}$  can be calculated once the  $\mathcal{E}(\rho_j)$  are known. Thus we can write

$$\begin{aligned} \mathcal{E}(\rho_j) &= \sum_{mn} \tilde{E}_m \rho_j \tilde{E}_n^\dagger \chi_{mn} = \sum_{mnk} \beta_{jk}^{mn} \rho_k \chi_{mn} \\ &= \sum_k \lambda_{jk} \rho_k, \end{aligned} \quad (12)$$

where we define  $\tilde{E}_m \rho_j \tilde{E}_n^\dagger = \sum_k \beta_{jk}^{mn} \rho_k$ . Thus equating the last term of Eq. (12) on the first line and the second line of Eq. (12) we have

$$\lambda_{jk} = \sum_{mn} \beta_{jk}^{mn} \chi_{mn}. \quad (13)$$

Why did we do this? Well, the  $\lambda_{jk}$  are calculated once the  $\mathcal{E}(\rho_j)$  are experimentally determined (see Eq. (11)) and the  $\beta_{jk}^{mn}$  elements are set once  $\{\tilde{E}_m\}$  and  $\{\rho_j\}$  are set, so that the  $\chi_{mn}$  elements can be found once  $\lambda_{jk}$  are known and the  $\beta_{jk}^{mn}$  are set by inverting Eq. (13) as

$$\chi_{mn} = \sum_{jk} \kappa_{jk}^{mn} \lambda_{jk}. \quad (14)$$

This can be done on the computer if the system is very large, or by hand if manageable. Here,  $\kappa$  is the generalised inverse of  $\beta$ , *i.e.* it satisfies the following relation

$$\beta_{jk}^{mn} = \sum_{st\ xy} \beta_{jk}^{st} \kappa_{st}^{xy} \beta_{xy}^{mn}. \quad (15)$$

Thus, we have a way to find the  $\chi_{mn}$  elements and we have the fixed set  $\{\tilde{E}_m\}$ . Now we'd like to find the  $\{E_i\}$  in the original Kraus decomposition of Eq. (6). We know that  $\chi$  is a positive Hermitian matrix, therefore it can be diagonalised using  $D = U^\dagger \chi U$ , or written another way

$$D = U^\dagger \chi U \rightarrow \chi_{mn} = \sum_{ij} U_{mj} d_j \delta_{ji} U_{ni}^*, \quad (16)$$

where  $d_j \delta_{ji} = D_i$  are positive and real. Making the association  $e_{im} = \sqrt{D_i} U_{mi}$  and  $e_{in}^* = \sqrt{D_i} U_{ni}^*$  we have from Eqs. (7) and (8)

$$E_i = \sum_m e_{im} \tilde{E}_m = \sum_m \sqrt{D_i} U_{mi} \tilde{E}_m. \quad (17)$$

Thus, we have found the Kraus decomposition for the channel  $\mathcal{E}(\rho)$ .

Ok, so in summary quantum process tomography follows these steps:

- A  $\lambda$  matrix is found from state tomography once  $\{\rho_j\}$  is chosen.
- A  $\chi$  matrix is found from the  $\lambda$  matrix via  $\beta$ , once  $\{\tilde{E}_m\}$  is chosen.
- The set  $\{E_i\}$  for the Kraus decomposition is found once  $\chi$  is known.

## 2.1 Example process tomography

Consider the channel

$$\mathcal{E} : \rho \rightarrow \rho' = \mathcal{E}(\rho) = \sum_i E_i \rho E_i^\dagger, \quad (18)$$

where  $\rho$  is a single qubit.

- Choose a fixed set:  $\tilde{E}_0 = \mathbb{1}, \tilde{E}_1 = X, \tilde{E}_2 = -iY, \tilde{E}_3 = Z$ . Note that although the operators in this set are not normalised (with respect to the Hilbert-Schmidt inner product), this is taken into account later by the  $\chi$  matrix.
- Choose the fixed basis  $\{\rho_j\} = \{\rho_1, \rho_2, \rho_3, \rho_4\} = \{|0\rangle\langle 0|, |0\rangle\langle 1|, |1\rangle\langle 0|, |1\rangle\langle 1|\}$ .
- Prepare the input states  $\{|0\rangle, |1\rangle, |+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle), |+_y\rangle = \frac{1}{\sqrt{2}}(|0\rangle + i|1\rangle)\}$  and determine the output states  $\mathcal{E}(|0\rangle\langle 0|), \mathcal{E}(|1\rangle\langle 1|), \mathcal{E}(|+\rangle\langle +|)$  and  $\mathcal{E}(|+_y\rangle\langle +_y|)$  via state tomography.

Below is an example from a photonic experiment showing the input states and output states.

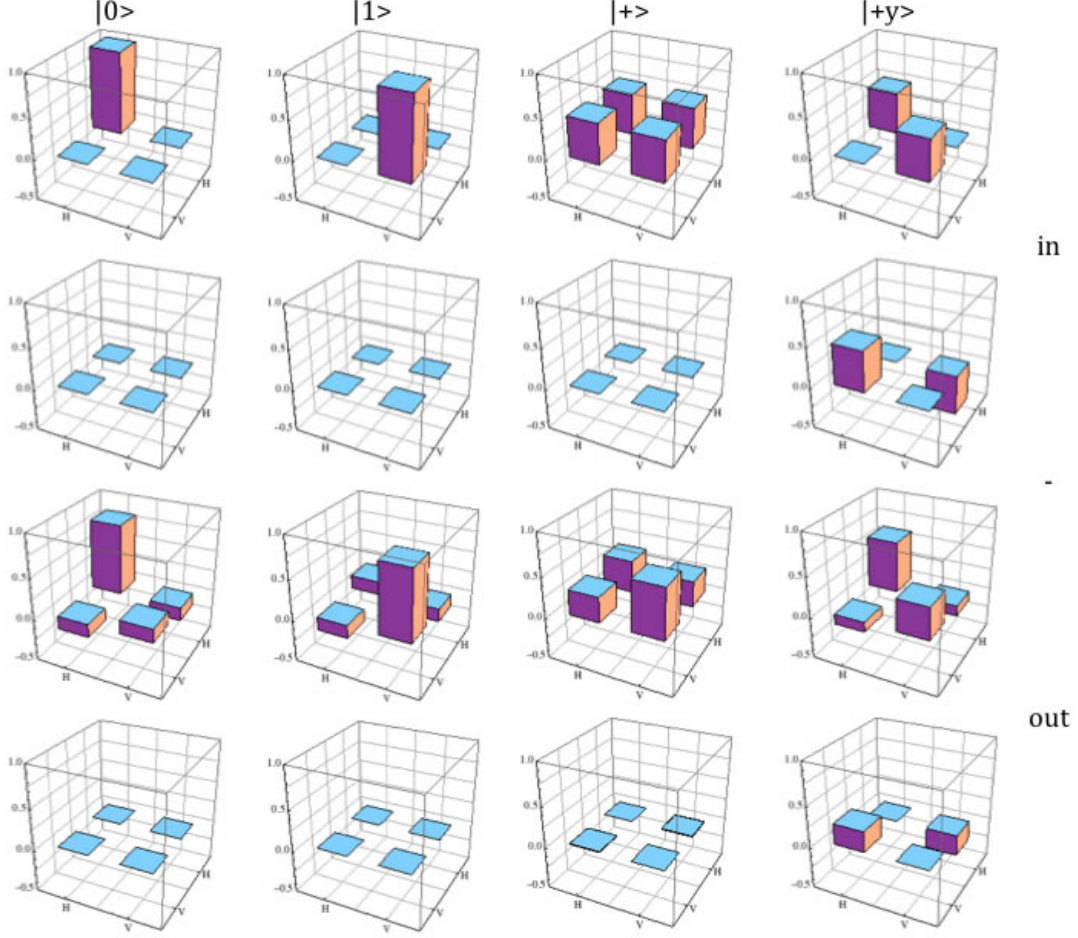


Figure 2: Taken from R. Prevedel *et al.* Phys. Rev. Lett. 99, 250503 (2007). The first row is the real part and the second row is the imaginary part for the input (in) and output (out) states respectively.

- From the output states we can then write

$$\begin{aligned}
 \rho'_1 &= \mathcal{E}(|0\rangle\langle 0|) \\
 \rho'_2 &= \mathcal{E}(|0\rangle\langle 1|) = \mathcal{E}(|+\rangle\langle +|) + i\mathcal{E}(|+y\rangle\langle +y|) - \frac{1+i}{2}(\mathcal{E}(|0\rangle\langle 0|) + \mathcal{E}(|1\rangle\langle 1|)) \\
 \rho'_3 &= \mathcal{E}(|1\rangle\langle 0|) = \mathcal{E}(|+\rangle\langle +|) - i\mathcal{E}(|+y\rangle\langle +y|) - \frac{1-i}{2}(\mathcal{E}(|0\rangle\langle 0|) + \mathcal{E}(|1\rangle\langle 1|)) \\
 \rho'_4 &= \mathcal{E}(|1\rangle\langle 1|)
 \end{aligned} \tag{19}$$

- This gives us the matrix  $\lambda$  (from Eq. (11)) and with the tensor  $\beta$  (from our chosen set  $\{\tilde{E}_m\}$ ) we can calculate the matrix  $\chi$ . Due to the choice of  $\{\tilde{E}_m\}$  and  $\{\rho_j\}$  we have

$$\beta = \begin{pmatrix} \mathbf{1} \otimes \mathbf{1} & \mathbf{1} \otimes X & \mathbf{1} \otimes iY & \mathbf{1} \otimes Z \\ X \otimes \mathbf{1} & X \otimes X & X \otimes iY & X \otimes Z \\ iY \otimes \mathbf{1} & iY \otimes X & iY \otimes iY & iY \otimes Z \\ Z \otimes \mathbf{1} & Z \otimes X & Z \otimes iY & Z \otimes Z \end{pmatrix}. \tag{20}$$

- Then using  $\lambda_{jk} = \sum_{mn} \beta_{jk}^{mn} \chi_{mn}$ , where  $\mathcal{E}(\rho_j) = \rho'_j = \sum_k \lambda_{jk} \rho_k$  defines the  $\lambda_{jk}$ , e.g.

$$\begin{aligned}\rho'_1 &= \begin{pmatrix} \lambda_{11} & \lambda_{12} \\ \lambda_{13} & \lambda_{14} \end{pmatrix}, \\ \rho'_2 &= \begin{pmatrix} \lambda_{21} & \lambda_{22} \\ \lambda_{23} & \lambda_{24} \end{pmatrix},\end{aligned}\tag{21}$$

(and so on for  $\rho'_3$  and  $\rho'_4$ ), one finds

$$\chi = \Lambda \begin{pmatrix} \rho'_1 & \rho'_2 \\ \rho'_3 & \rho'_4 \end{pmatrix} \Lambda,\tag{22}$$

where

$$\Lambda = \frac{1}{2} \begin{pmatrix} \mathbf{1} & X \\ X & -\mathbf{1} \end{pmatrix}.\tag{23}$$

- Now that we have  $\chi$  we have completely characterised the channel  $\mathcal{E}$ , and with a few more steps we can have the Kraus decomposition!

## References

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- J. Preskill, *Quantum Information lecture notes*, <http://www.theory.caltech.edu/people/preskill/ph229/#lecture> (2004).
- M. Boas, *Mathematical Methods in the Physical Sciences*, Wiley and Sons, (2005).
- S. T. Flammia, D. Gross, Y.-K. Liu and J. Eisert, *Quantum Tomography via Compressed Sensing: Error Bounds, Sample Complexity, and Efficient Estimators*, New J. Phys. 14, 095022 (2012).